

Arizona LGMA - Unannounced Checklist
(Approved: February 9, 2021)

General Requirements	
UA GR 01	Is there a traceability process in place?
UA GR 02	Are there visitor policies/procedures in place?
Water Use	
UA WU 01	From visual inspection, there is no evidence that the water sources and distribution systems may pose a contamination risk(damage, inadequately maintained, evidence of animal activity, connection with effluent systems)?
UA WU 02	If the water is reused (multi-pass), is sufficient disinfection added and monitored routine intervals to prevent possible cross-contamination? (e.g. Chlorine-more than 1ppm free chlorine and pH 5.5-7.5 or other approved treatment per product EPA label for human pathogen reduction in water)?
UA WU 03	If disinfectant is used during re-hydration or product coring in the field (single-pass) does the water have breakpoint disinfectant present at point of entry and does the operation monitor at routine intervals for disinfectant levels?
UA WU 03a	Are instruments or controls used to measure, regulate, or record temperature, hydrogen ion concentration, pH, sanitizer concentration or other conditions?
UA WU 03b	Accurate and precise as necessary and appropriate for their intended use?
UA WU 03c	Adequately maintained?
UA WU 03d	Adequate in number for their intended use?
UA WU 04	No other observations of improper use of water?
Soil Amendments	
UA SA 01	No evidence of improperly applied soil amendments?
UA SA 02	No evidence of improperly stored soil amendments?
UA SA 03	No other observations of improper use of soil amendments?

Environmental Factors	
UA EA 01	No evidence of fecal contamination in the production area?
UA EA 02	No evidence of animal intrusion or potential risk of intrusion in the production area?
UA EA 03	No evidence of non-compliance with distances as outlined in the Metrics addressing Environmental Assessments?
UA EA 04	No evidence that remedial actions such as animal barriers (fences, gates, grates, etc.) are not in good repair and operational?
UA EA 05	No other observations of environmental risk factors?
Worker Practices	
UA WP 01	No employees eating, drinking (except water), chewing tobacco or smoking in crop production actively harvested areas?
UA WP 02	All employees observed to have washed their hands after; restroom usage, work breaks, or any returning to work occasion?
UA WP 03	No evidence that worker hygiene rules have been violated?
UA WP 04	No evidence that sanitary facilities are not routinely clean and operational?
UA WP 05	No evidence that sanitary facilities are not adequately stocked with disposable supplies?
UA WP 06	No improperly stored personal items observed in the field?
UA WP 07	No evidence that workers practices for further processing have been violated?
UA WP 08	No employees with uncovered wounds, boils or cuts?
UA WP 09	No employees with symptoms of infection or contagious disease?
UA WP 10	No other observations of improper worker practices?

Field Sanitation - Daily Harvest Assessment

UA FS 01	Is a specific individual assigned the food safety responsibility for harvesting?
UA FS 02	Is a documented daily food safety harvest assessment available for review?
UA FS 03	Is the assessment dated?
UA FS 04	Is the individual who conducted the assessment identified?
UA FS 05	Are the specific growing blocks associated with the assessment clearly identified?
UA FS 06	Is the Harvester name and contact information documented?
UA FS 07	Did the assessment indicate that the production area was free from evidence of animal intrusion?
UA FS 08	Was the animal hazard or potential risk of intrusion assessed by Food Safety Professional or Food Safety Personnel?
UA FS 08a	Was the animal hazard or potential risk of intrusion assessed as a "Low Hazard"?
UA FS 08b	If "YES" were corrective actions carried out according to company SOP?
UA FS 09	Was the animal hazard or potential risk of intrusion assessed as a "Medium/High Hazard"?
UA FS 09a	If "YES" were corrective actions carried out per the LGMA requirements?
UA FS 09b	If "YES" is documentation available to show that actions were implemented?
UA FS 10	Did the daily harvest assessment address changes in weather condition or weather events (e.g. severe wind, hail, freeze, excessive rain, or consecutive weather events) since the last assessment?
	If the assessment indicates the production area had a changes in weather condition or weather event during the production period are the following addressed:
UA FS 10a	Potential impact on the crop or operations?
UA FS 10b	If the crop or operations were impacted were corrective actions carried out according to Company SOP?

Field Sanitation - Harvest Equipment, Packing Materials and Buildings

UA FS 11	Did the Daily inspection of harvest equipment and tools that was completed prior to beginning harvest address cleaning and sanitation or change in conditions since prior sanitation?
UA FS 11a	Did the inspection indicate the equipment do not need to be rinsed and sanitized prior to beginning daily harvest?
UA FS 11b	If no, was the equipment rinsed and sanitized prior to beginning daily harvest?
UA FS 12	All chemical storage containers are labeled appropriately?
UA FS 13	No evidence of improper storage of chemicals in the field?
UA FS 14	Use of containers only as intended?
UA FS 15	No evidence that equipment, tools, tanks, and containers are not maintained and/or clean.
UA FS 16	No evidence of leaks and spills on equipment in the production area?
UA FS 17	No other evidence of improper field sanitation?

Field Sanitation - Field and Harvest Activities

UA FS 18	No evidence of cross-contamination potential of product and/or product contact surfaces?
UA FS 19	No evidence of potential cross-contamination of product (i.e. cut surface of product and contact with the ground/soil)?
UA FS 20	No evidence of the use of non-sanitized farm equipment that may have come in contact with raw manure, untreated compost, waters of unknown quality, wildlife or domestic animals?
UA FS 21	No evidence of potential cross-contamination of equipment or tools with food contact surfaces?
UA FS 22	No evidence of potential cross-contamination of containers and packing materials?
UA FS 23	No evidence of excessive non-vegetative debris in the field?

Transportation

UA TR 01	Are equipment, shipping units and/or shipping containers used to transport leafy greens on the farm or from the farm to a cooling, packing, or processing facility checked for cleanliness before being utilized?
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